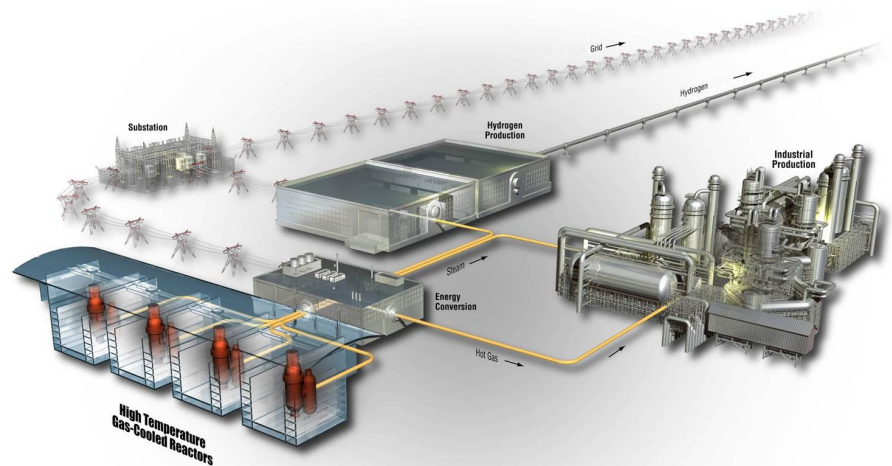


Basis for NNGP Reactor Design Down-Selection

November 2011



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Basis for NGNP Reactor Design Down-Selection

November 2011

**Idaho National Laboratory
Next Generation Nuclear Plant Project
Idaho Falls, Idaho 83415**

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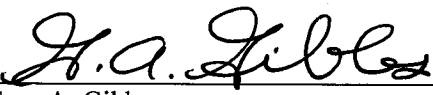
Next Generation Nuclear Plant Project

Basis for NGNP Reactor Design Down-Selection

**INL/EXT-10-19565
Revision 1**

November 2011

Approved by:



Greg A. Gibbs
Director, NGNP Project



Date

FORWARD

This revision reflects the following events that occurred after the original paper was submitted in August 2010 and have effect on the substance of this paper.

- Submittal of the General Atomics Conceptual Design Report in December 2010.
- Submittal by AREVA of a report summarizing the status of pebble bed reactor development in January 2011.
- Issue of an updated Fuel Acquisition Plan by NGNP Project Research & Development
- An update in January 2011 by NGNP Project personnel of the status of the most important and most costly risks to successful completion of the Project, including identification of the potential for additional work scope to cover areas of substantive uncertainty in the Project and update of the estimated costs to complete the NGNP Project accounting for the cost of these risks.

EXECUTIVE SUMMARY

This paper evaluates the extent of technology development, design, and licensing maturity anticipated to be required for both pebble bed and prismatic reactor design to determine if there are credible differentiators that would form the basis for selecting the design to be pursued by the NGNP Project. The potential differentiators evaluated in this paper do not include those based on the economics, business model, and the resulting business case because these will vary based on the reactor application. The selection of the reactor type, module ratings, number of modules, configuration of the balance of plant, and other design options will be made on the basis of optimizing the business case for the application. These are not decisions that can be made on a generic basis.

Conclusions:

Based on the comparisons described below, the following conclusions are reached:

- There currently is no substantive technical differentiators that could provide the basis for choosing a reactor design—whether pebble bed or prismatic. The conceptual design report that was developed and submitted by General Atomics in 2010 did not change this conclusion.
- There currently is not sufficient confidence in the costs and plant economic evaluations performed to date to use these as differentiating factors.
- It is expected that the designs will need to be matured well into the preliminary design phase and the licensing basis matured to support impending submittal of a combined construction and operating licensing application before possible differentiating factors will be identified. More specifically:
- The design of the systems and equipment will need to be sufficiently detailed to permit equipment sizing and layout and transient analyses to be completed
- The design of safety related systems and equipment will need to be sufficiently detailed to permit safety analyses, including the determination of accident frequencies and consequences
- The fundamental licensing requirements will need to be defined through the preapplication process
- The economics of the industrial application to which the FOAK NGNP plant will be applied needs to be developed.
- It is judged that sufficient technical differences will not be identified between the pebble bed and prismatic reactor concepts to make a selection for further development on a generic basis. In commercial application, the selection of which reactor design to apply is expected to be a function of the specific application, the licensing basis and the economics rather than the technical differences. Developing confidence in these differentiators will require design maturation well into preliminary design.

Basis for Conclusions

The above conclusions are based on detailed comparison of these reactor designs in the following areas:

- Configuration, thermal rating, and operating conditions, including comparison of over 30 specific design characteristics of three pebble bed reactor configurations and five prismatic reactor configurations that have been conceptualized as part of work completed to-date in the NGNP Project. This review included evaluation and assessment of potential advantages of one design over the other for both pebble bed and prismatic reactor technologies in the following areas:
 - Fuel composition, packing fraction, enrichment, and burnup

- Core thermal fluid transport, including pressure drop, coolant flow, convective heat transfer, non-convective heat transfer
- Core neutronics and fuel management, including refueling, excess reactivity, power peaking, analysis
- Graphite
- Instrumentation and control
- Source term
- Accident sequence
- Power output
- Proliferation.
- Technical viability to supply the variety of energy forms at the required conditions (e.g., thermal rating, temperature, pressure) for the wide range of industrial processes identified to-date by the NGNP Project as candidates for application of the high temperature gas-cooled reactor (HTGR) technology.
- Research and development (R&D) required to support design and licensing activities, including review of the objectives and coverage of the R&D requirements of both reactor designs, including the Fuel Acquisition Plan, Fuel Development and Qualification Program, Graphite Development and Qualification Program, High Temperature Materials Qualification Program, and the Methods Validation Program.
- Technology development required to complete the design effort and support licensing of the reactor concept by the U.S. Nuclear Regulatory Commission. This comparison examined the summary of the design development needs identified in the NGNP Project for each reactor design in the Technology Development Roadmaps prepared as part of the NGNP Project Risk Management Plan.

Advancement of the designs for both the pebble bed and prismatic reactor based plants through preliminary design is necessary to establish if there is a technical basis to select one design over the other for further development. Of highest priority are the identification and completion of the design of safety related structures, systems, and components to support development of the Combined License Application (COLA). To expedite achieving the level of design development needed to make a decision on the reactor concept in a reasonable time frame, DOE needs to engage now with industry and expedite the formation of the public-private partnership, including development of the necessary strategy to expedite the schedule, and cost sharing provisions. The work completed by the NGNP Project to-date is sufficient to inform this development.

It is the judgment of the NGNP Project that throughout the design and licensing process no differentiating technical factors will be identified that justify selection of one reactor design (i.e., pebble bed or prismatic) over the other. It is anticipated that the selection of reactor design will be made by the future owner of the plant based on specific licensing basis requirements and the business case. Potential end users and owners with whom the NGNP Project has discussed the application of this technology support developing two reactor plant designs (based on pebble bed and prismatic reactors) so they have a choice to better manage development risk, to promote competition and to provide flexibility in selecting the best alternative for the application and the business case. The implementation of parallel development paths has benefit to the NGNP Project by providing an alternative in the event major problems are encountered in one of the paths.

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ACRONYMS

AGR	Advanced Gas Reactor
ASME	American Society of Mechanical Engineers
ATR	Advanced Test Reactor
COLA	Combined License Application
DOE	Department of Energy
DTF	designed-to-fail
EPAct	Energy Policy Act of 2005
FHS	fuel handling system
FOA	Financial Offer of Assistance Office
GA	General Atomics
GT-MHR	Gas Turbine-Modular Helium Reactor
HTGR	high temperature gas-cooled reactor
HTR	high temperature reactor
HTTR	High Temperature Test Reactor
IHX	intermediate heat exchanger
IPyC	inner pyrocarbon
LEU	low enriched uranium
LWR	light water reactor
NGNP	Next Generation Nuclear Plant
NRC	Nuclear Regulatory Commission
OPyC	outer pyrocarbon
PBMR	Pebble-Bed Modular Reactor (Pty) ltd.
PBR	pebble bed reactor
PCDR	preliminary conceptual design report
PIE	post-irradiation examination
PMR	prismatic modular reactor
R&D	research and development
RPV	reactor pressure vessel
TDRM	Technology Development Road Map
TRISO	tri-isotropic
TRL	technology readiness level
VHTR	very high temperature reactor
WEC	Westinghouse Electric Company

Basis for NGNP Reactor Design Down-Selection

1. INTRODUCTION

1.1 Purpose

This paper evaluates the extent of technology development, design, and licensing maturity anticipated to be required for both pebble bed and prismatic reactor design to determine if there are credible differentiators that would form the basis for design selection for NGNP. It does not develop a business decision based on the economics, business model, and resulting business case because these will vary based on the reactor application. The selection of the reactor design, module ratings, number of modules, configuration of the balance of plant, and other design options will be made on the basis of optimizing the business case for the application. These are not decisions that can be made on a generic basis.

1.2 Background

In 2006, the NGNP Project was initiated at Idaho National Laboratory by the Department of Energy (DOE) as part of the Generation IV Nuclear Energy Systems technology roadmap and pursuant to the *Energy Policy Act of 2005* (EPAAct).¹ The principal objective of the NGNP Project is to support commercialization of the high temperature gas-cooled reactor (HTGR) technology. Beginning in the latter part of 2006, the NGNP Project initiated tasks with three supplier teams led by Westinghouse Electric Company/Pebble-Bed Modular Reactor Ltd (pty) (WEC/PBMR), AREVA, and General Atomics (GA) with the objective of developing commercial reactor designs applying the pebble bed and prismatic block reactor concepts. These plants were designed to demonstrate the ability of the HTGR technology to produce electricity and hydrogen.

Subsequent to that work, discussions with potential end users of the HTGR technology determined that the end users are interested in the technology for a broader range of applications than just electricity and hydrogen production. Since the HTGR is helium-cooled and graphite-moderated, it can operate at reactor outlet temperatures much higher than conventional light water reactor (LWR) technology. Accordingly, it can be applied in many industrial applications as a substitute for burning of fossil fuels, such as natural gas, in addition to producing electricity—the principal application of current LWRs. At the time of this writing, several applications of the HTGR technology to industrial applications have been evaluated by the NGNP Project. These include supplying electricity, steam, and high-temperature gas to a wide range of industrial processes and producing hydrogen and oxygen for use in petrochemical, refining, chemical, fertilizer plants and synthetic fuel and feedstock production. Plants using both the pebble bed and prismatic block reactor designs have been used in the evaluations of these applications.

In mid-2010 DOE-NE entered into a cooperative agreement with General Atomics (GA) to complete a conceptual design report for a prismatic reactor based plant.² GA submitted this report to the DOE in December 2010.³ The design proposed by GA for this work assumed that the HTGR would be used for co-generation supply of electricity and steam to an industrial plant. The proposed nuclear heat supply system (NHSS) is an adaptation of prior GA designs of a 350 MWt reactor and steam generator developed in the late 1980s and early 1990s. The energy conversion plant of the GA plant includes a Rankine steam turbine generator. Extractions from the steam turbine generator supply steam at the required pressures and temperatures to the industrial plant processes. The DOE provided funding of ~\$20M for this effort. The limited funding and time period for this work did not result in completing the conceptual design. The NGNP Project projects that another year of design development is required to complete the conceptual design.

The DOE did not come to agreement with other Suppliers to complete a similar effort to develop a conceptual design for a pebble bed reactor based plant. In about the same time frame the South African

government decided to terminate support of the PBMR Pty Ltd and support of the NGNP Project. Accordingly, the NGNP Project contracted with AREVA to assess the status of the pebble bed reactor design using the original German design for the HTR Module as the baseline. This work was completed in January 2011 with a report that summarizes the design status and estimates costs for completing the design, licensing, construction and initial operation of a demonstration and commercial plant.⁴

This work by GA and AREVA did not advance either the prismatic or pebble bed designs sufficiently to provide sufficient additional information than was available before completion of this work to inform the decision on which reactor design to continue with in the NGNP Project. Advancement of the designs for both the pebble bed and prismatic reactor based plants through preliminary is necessary to establish if there is a technical basis to select one design over the other for further development. This design work needs to be complete enough to identify and complete the design of safety related SSC and SSCs important to safety sufficiently to support development of the COL application.

1.3 Approach

The key features of pebble bed and prismatic block reactor designs are compared based on the following attributes:

- Configuration, thermal rating, and operating conditions
- Technical viability to supply the several energy forms at the required conditions (e.g., thermal rating, temperature, pressure) needed to supply the energy needs of the wide range of industrial processes evaluated to-date by the NGNP Project as candidates for application of the HTGR technology
- Research and development (R&D) required to support design and licensing activities
- Technology development required to complete design efforts and support licensing of the reactor concept by the U.S. Nuclear Regulatory Commission (NRC).

Other factors could be used to assess the advantages of one reactor design over the other, for example, the assessment could compare plant capital, operating costs, lifetime and other factors affecting the economic viability of the designs, but as stated in the purpose, this was not done for this comparison for the following reasons:

- None of these factors are developed for either reactor design to the level of confidence required to make a viable assessment of one design versus the other. Completion of the preliminary design effort is required of both designs to provide enough confidence in the estimate of capital and operating costs, plant lifetime, projected availability, and other factors that need to be considered in this assessment. The limited scope of the conceptual design work that was completed under the provisions of the cooperative agreement with GA and the assessment to the status of the design of the pebble bed reactor by AREVA are not sufficient for this purpose.
- These factors may not be significant in the selection of either the pebble bed or the prismatic reactor design for a specific application. The selection of the type of reactor, the module ratings, the number of modules, the configuration of the balance-of-plant and a myriad of other design selections will be made on the basis of optimizing the business case for the application. These are not decisions that can be made on a generic basis with any confidence within a project that has the objective of commercializing a technology rather than a specific design, particularly at an early stage.

2. REACTOR PLANT CONFIGURATIONS, THERMAL RATINGS, AND OPERATING CONDITIONS

2.1 Reactor Designs

HTGR technology is not a new technology. Beginning with the UK 20 MWth Dragon test plant in 1963, seven commercial scale and research HTGR plants have been built and operated worldwide. Figure 1 shows each of these plants, identifying the type of reactor design, rating of the plant, and years of operation. It also shows that there are two types of reactor designs: prismatic and pebble bed. The two plants still operating for research purposes are the prismatic High Temperature Engineering Test Reactor (HTTR) in Japan and the Pebble Bed High Temperature Reactor (HTR-10) in China. There are no HTGR plants in commercial operation at the time of this writing, but the Chinese have announced their intention to build one over the next several years, based on the pebble bed HTR design.

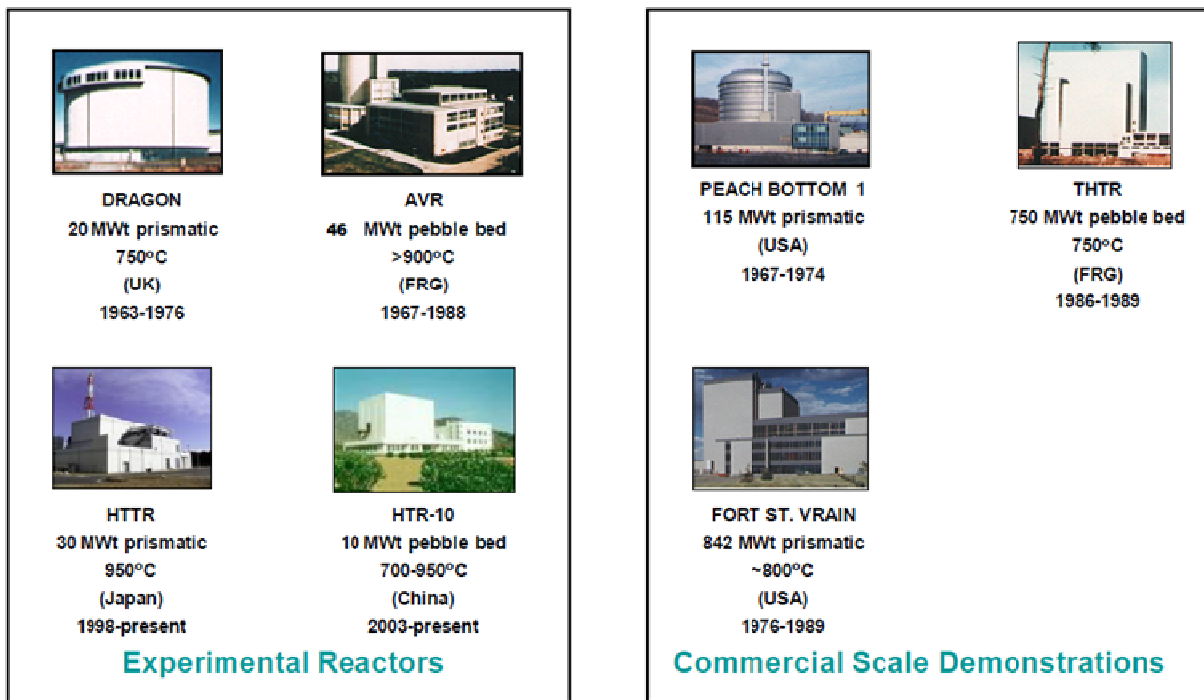


Figure 1. History of HTGR plants.

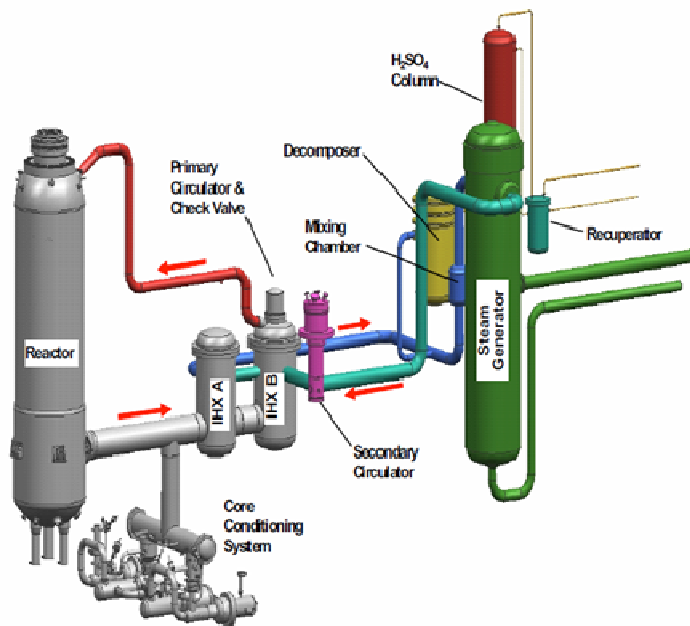
The HTTR and HTR-10 reactor designs are similar to those being pursued by the NNGP Project. They have safety characteristics stemming from material, nuclear, and thermal hydraulic characteristics that mitigate the severity of postulated licensing basis events (e.g., loss of coolant and reactivity transients) and reduce the release of the associated radiological source terms and calculated dose consequences.^{5,6} These characteristics and the higher operating temperatures of these reactors provide advantages in their co-location with industrial applications. These characteristics support the principal objective of the NNGP Project to commercialize this technology.

Since the initiation of the NNGP Project in 2006, several plant configurations and operating conditions have been conceptualized for application of the HTGR technology in industrial processes for both the pebble bed and prismatic block reactor concepts. The preconceptual design work in FY 2007⁷ developed pebble bed and prismatic block reactor based plant designs for the production of electricity and hydrogen in accordance with the provisions of the EAct. These plant concepts have reactor outlet temperatures in the range 900 to 950°C and reactor module thermal power ratings of 500 to 600 MWth.

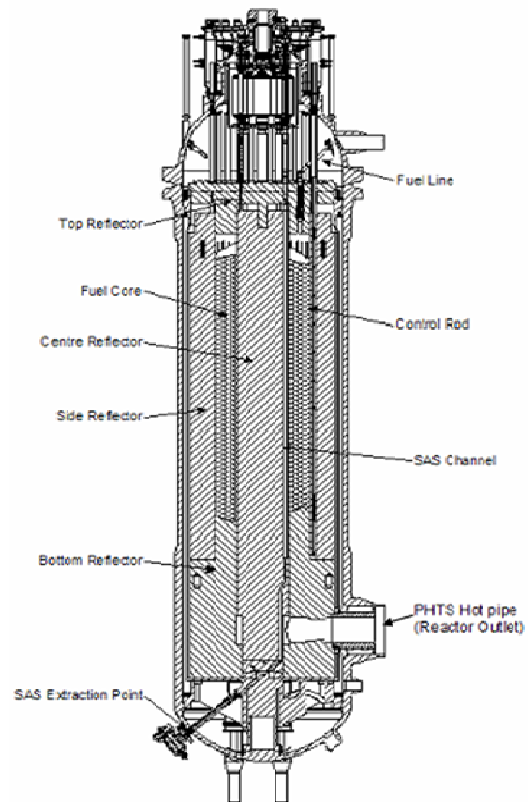
These plant configurations included direct Brayton cycle gas turbines and gas-to-gas intermediate heat exchangers (IHXs) in the primary helium loop and steam generators in a secondary gas loop. Figures 2, 3, and 4 summarize the configurations developed in this work. Table 1 summarizes key parameters for these designs. Results of comparisons of the noncore design parameters are summarized in Table 2. Comparisons of the core parameters are summarized in Table 3.

Subsequent to this work the HTGR suppliers recommended reducing the reactor outlet temperatures. The bases for these recommendations included:

- Reductions in the technical risks associated with developing the high temperature materials and IHX designs necessary to implement the FY 2007 preconceptual designs within the schedule set for initial operation of the NGNP first-of-a-kind plant (2021). The WEC/PBMR team recommended retaining the IHX to reduce the water ingress risk associated with positioning the steam generator in the primary loop. The AREVA and GA teams concluded that the risk was not significant; this configuration had been used with success in prior deployment of gas reactors.
- Increased margin in the qualification of reactor fuel, graphite, and high temperature metallic materials that would reduce licensing risk. The NGNP R&D Programs are designed to qualify these materials at conditions that encompass the higher operating temperatures, (e.g., 950°C reactor outlet temperature). Accordingly, operation at lower temperatures increases margin to the qualification temperatures.
- Discussions of the energy needs with a broad range of potential end users of the technology that concluded many of these energy needs could be met with a lower temperature plant producing only steam and electricity, (e.g., co-generation applications wherein only steam and electricity are required, extraction of bitumen from oil sands)



Indirect configuration producing electricity and hydrogen



Annular Pebble Bed Reactor

Figure 2. WEC/PBMR team pebble bed reactor FY 2007 NGNP preconceptual design.

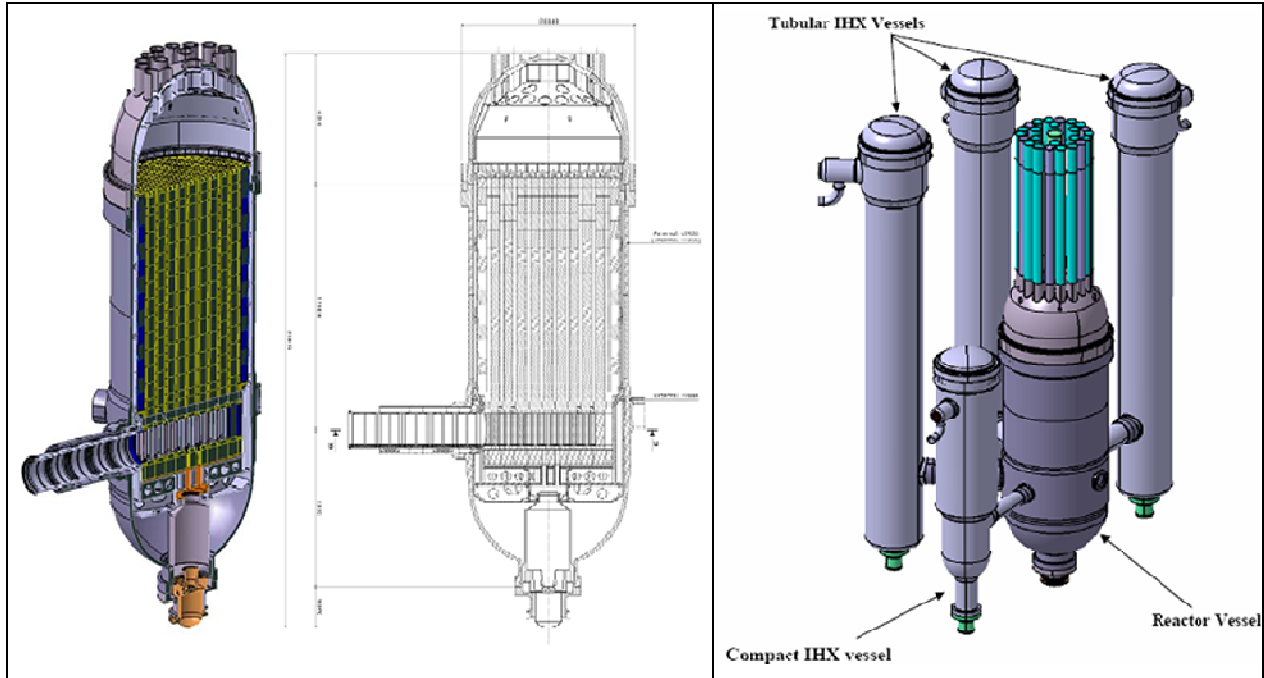


Figure 3. AREVA team prismatic reactor FY 2007 NGNP preconceptual design.

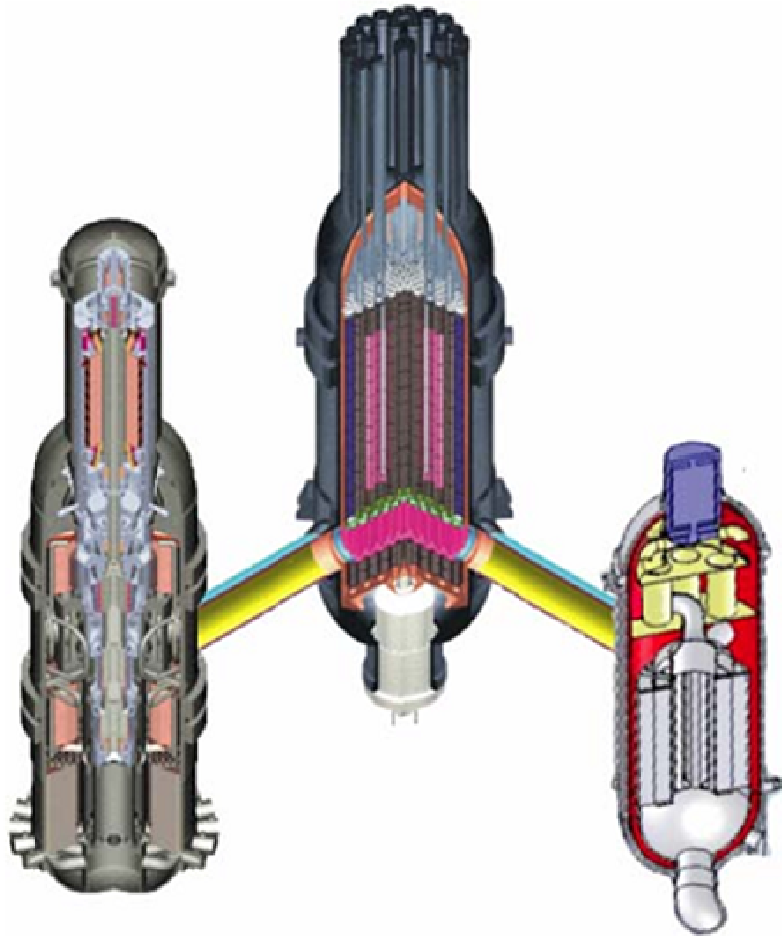


Figure 4. General Atomics team prismatic reactor FY 2007 NGNP preconceptual design.

As noted, the WEC/PBMR team retained the IHX in the primary loop, but the AREVA and GA teams also recommended eliminating it and moving the steam generator into the primary loop.^{8,9} In all cases, the plant would only supply steam and electricity rather than hydrogen. Figures 5 and 6 and Table 1 summarize the revised plant configurations and reactor characteristics proposed by the suppliers at that time.

In mid-2009, the WEC/PBMR team further revised the recommended pebble bed reactor design from an annular core to a cylindrical core with a reduction in the thermal rating of the reactor from 500 MWth to the range of 200 to 250 MWth.¹⁰ This team also concluded that it was acceptable to position the steam generator in the primary loop. The configuration of this plant design and reactor characteristics are shown in Figure 7 and Table 1.

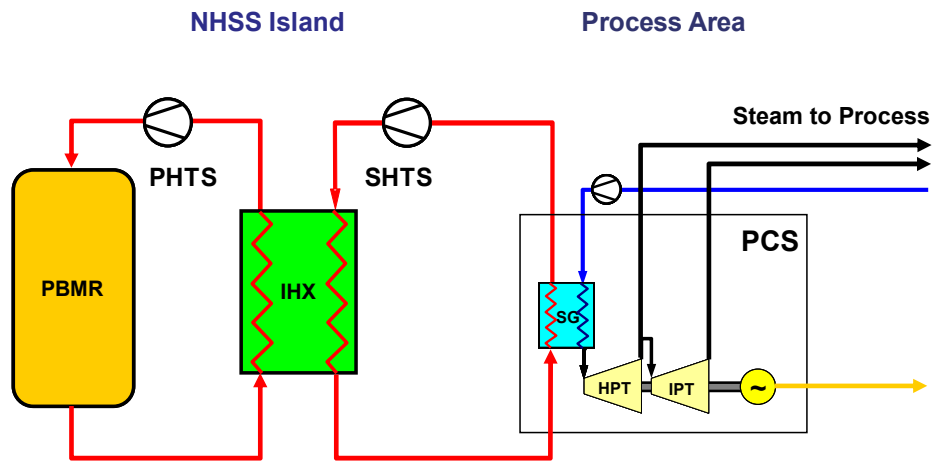


Figure 5. WEC/PBMR team reduced reactor operating temperature configuration—steam and electricity only.

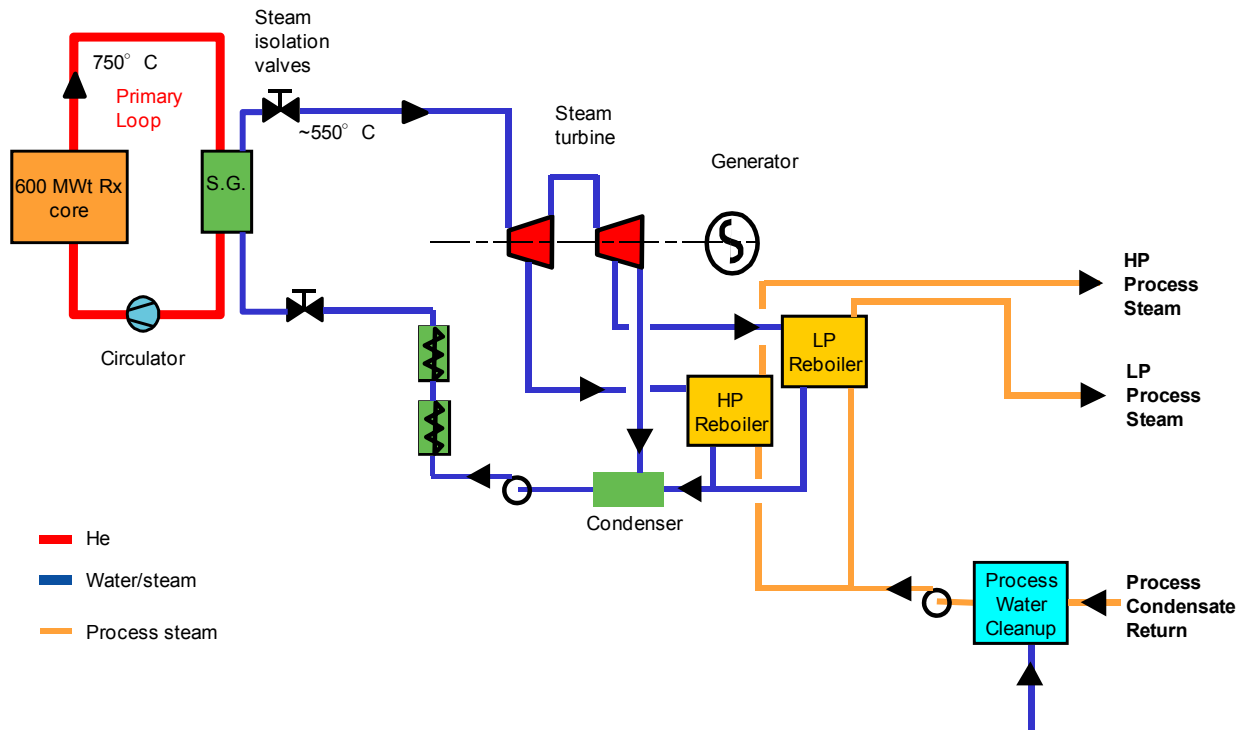


Figure 6. AREVA/GA – Revised prismatic reactor based commercial steam and electricity supply plant.

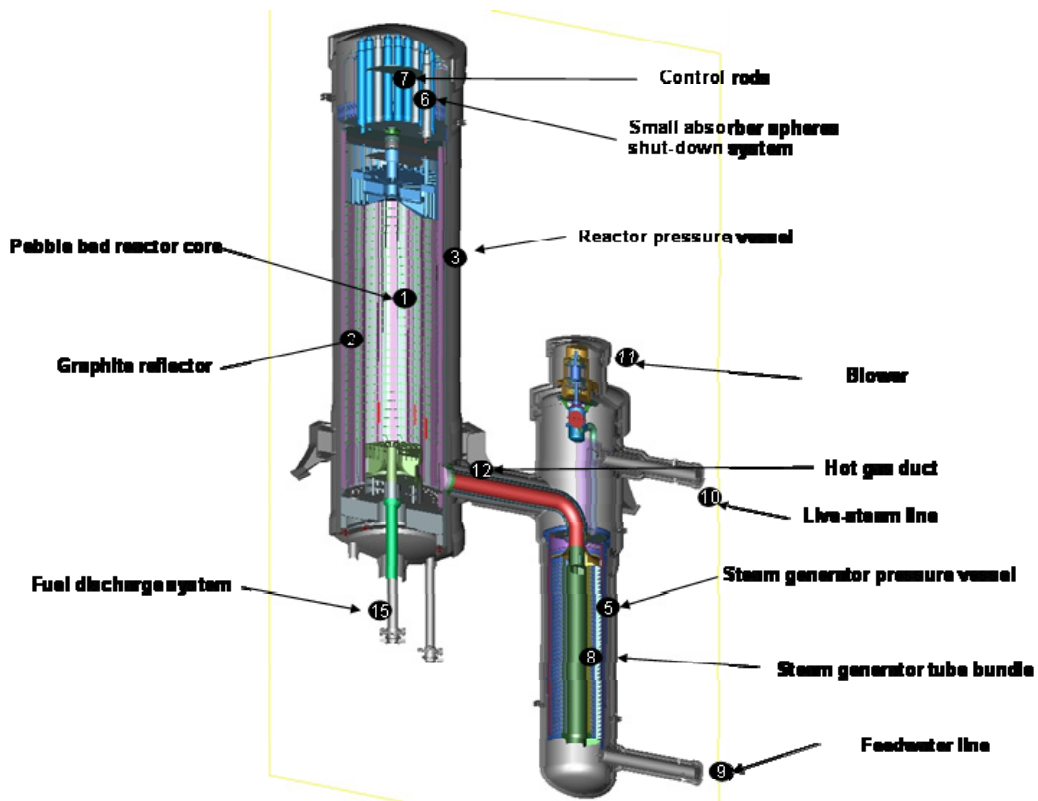


Figure 7. WEC/PBMR team commercial plant configuration.

As noted previously, in 2010 DOE-NE entered into a cooperative agreement with General Atomics to prepare a conceptual design report for a prismatic reactor based plant design. GA completed the report in December 2010. The plant design incorporates a nuclear heat supply system (NHSS) comprised of a 350 MWt standard reactor module and steam generator positioned in the primary helium loop; see Figure 8. This design is an adaptation of prior reactor and steam generator designs GA developed for the DOE in the late 1980s and early 1990s, (e.g., MHTGR, NPR). The reactor outlet temperature is 725°C. GA designates the plant as SC-MHR for Steam Cycle Modular Helium Reactor. The principal function of the plant is to provide co-generated electricity and steam to industrial processes. An energy conversion plant comprised of a steam turbine generator is supplied from the NHSS for this purpose.

The AREVA assessment of the status of the design of the pebble bed reactor was based on the German HTR-Module shown in Figure 9. The NHSS for this plant includes a 200 MWt pebble bed reactor module and a steam generator in the primary helium loop. This plant was originally designed to supply only electricity using a steam turbine generator. Extractions from the steam turbine can be used to supply steam to an industrial process in addition to electricity to serve the same purpose as the GA SC-MHR.

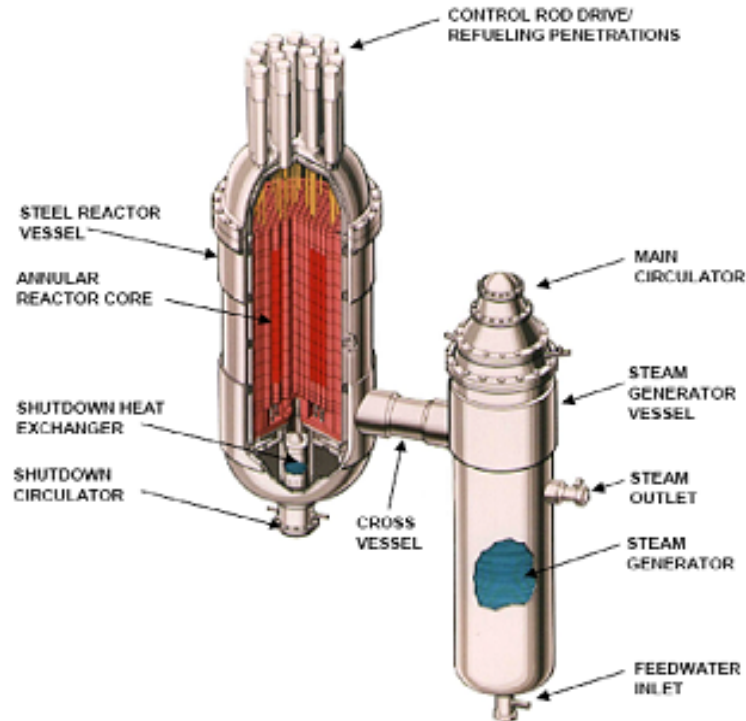


Figure 8. SC-MHR Nuclear Heat Supply System

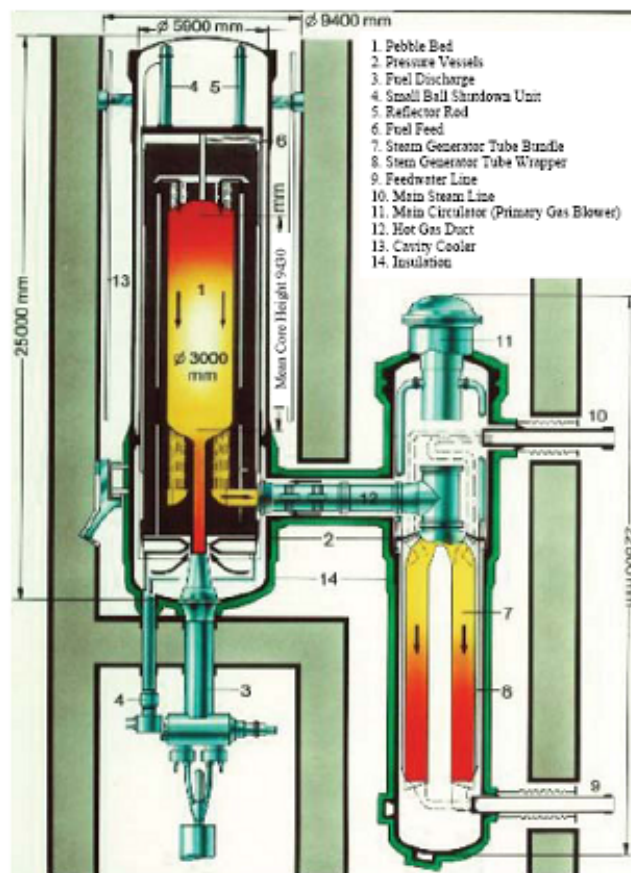


Figure 9. HTR Module Nuclear Heat Supply System

2.2 Pebble Bed and Prismatic Reactor Fuel Designs

Referring to Figures 2 through 9 (above), the clearest distinction between the two reactor module concepts is the reactor core. The pebble bed core is made up of spherical fuel elements that are continuously flowing downward through either an annular or cylindrical volume made up of graphite reflector blocks. Once a spherical fuel element passes through the core it is collected, examined for physical damage and the level of burnup, and, if acceptable, returned to the top of the core for further operation. If it is damaged or has reached its burnup limit, it is removed from service and sent to used fuel storage. New fuel spheres are added as required to maintain the appropriate inventory in the core. In this manner, the pebble bed reactor is continuously refueled and needs to be shutdown only for maintenance, nominally every 3 to 5 years.

The prismatic core is made up of graphite blocks that contain embedded fuel compacts. The fuel blocks are arranged in an annular configuration made up of center and outer graphite reflector blocks. The core made up of these fuel blocks has a cycle lifetime of 18 to 24 months. The fuel blocks are shuffled and replaced on a batch basis using specialized fuel handling equipment. This equipment is designed to operate through special ports in the reactor vessel head so removal of the vessel head is not required for refueling. Refueling is projected to require ~21 days. Reflector blocks can also be replaced during refueling.

Both reactor concepts use the same fuel concepts but with the different fuel element configurations.

2.2.1 Pebble Bed Fuel Elements

Figure 10 shows the makeup of the pebble bed reactor spherical fuel elements. The fuel element consists of a matrix graphite body pressed into a spherical shape. A fuel sphere is divided into two regions. The inner spherical region is known as the fuel region, and the outer shell surrounding the fuel region is known as the fuel-free region. The fuel region of each fuel sphere contains a large number of evenly distributed TRISO-coated fuel particles; there are no particles in the fuel-free region. The design of the coated particles and fuel sphere, including their nominal dimensions, is depicted in Figure 10. The fuel-free region is a protective 5-mm-thick layer of matrix material formed by a high-pressure isostatic pressing process and machined to final dimensions.

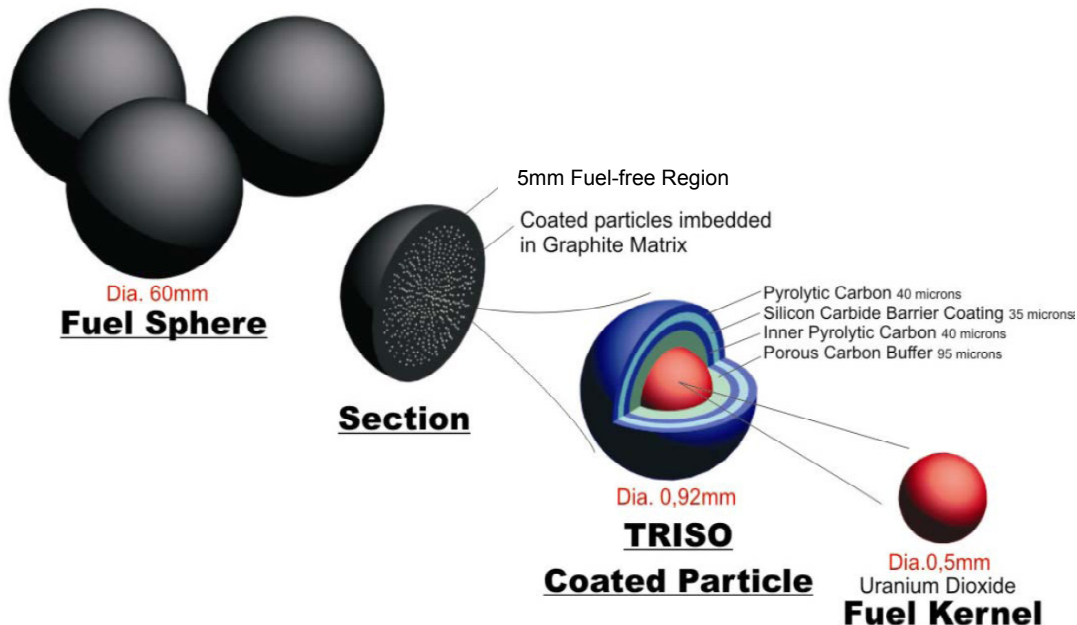


Figure 10. Pebble bed fuel element.

TRISO fuel particles consist of a fuel microsphere called a kernel. The kernels originally proposed for use in the pebble bed reactors were formed from UO_2 (uranium dioxide). A conclusion of the AREVA assessment, however, is that the UCO (uranium oxycarbide) based kernels being developed by the NGNP Project R&D program should be used for the pebble bed fuel. The UCO kernels have been in development for use in the prismatic reactors. The kernels range from 350 to 500 microns in diameter and are coated with multiple layers of pyrocarbon and SiC (silicon carbide) as shown in Figure 11. Finished particle diameters are 780 to 920 microns. The different coating layers, which consist of buffer, inner pyrocarbon (IPyC), SiC, and outer pyrocarbon (OPyC) layers, are referred to collectively as a TRISO (tri-isotropic) coating. The coating system constitutes a miniature multishell pressure vessel that provides barriers to the release of the fission-products generated by fission of the nuclear material in the kernel. A substantial fraction of the fission products are retained in the kernel itself. In this function the four coating layers of a TRISO particle have specialized purposes described in Figure 11.

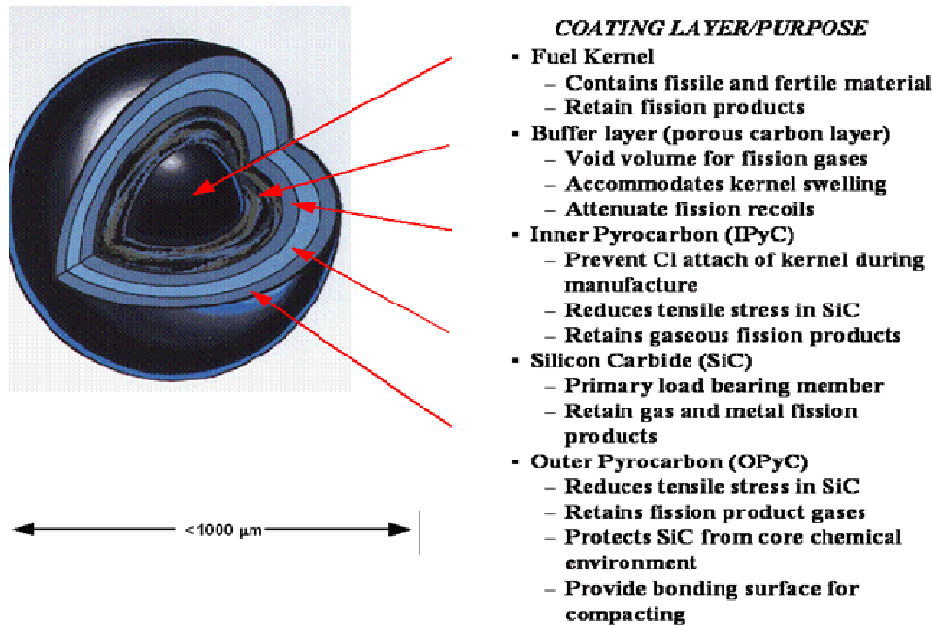


Figure 11. TRISO fuel particle configuration and coating purposes.

2.2.2 Prismatic Fuel Elements

The fuel element for a prismatic reactor is shown in Figure 12. The reference fuel particle consists of a TRISO-coated UCO fuel kernel. The fuel particles are bonded together in a carbonaceous matrix to form cylindrical compacts that are approximately 12.5 mm in diameter and up to about 50 mm in length. These compacts are loaded into hexagonal-shaped blocks fabricated from high-purity nuclear-grade graphite that have a pattern of blind fuel holes and coolant holes through which helium coolant flows. The blocks are about 793 mm high and about 360 mm wide across the flats.

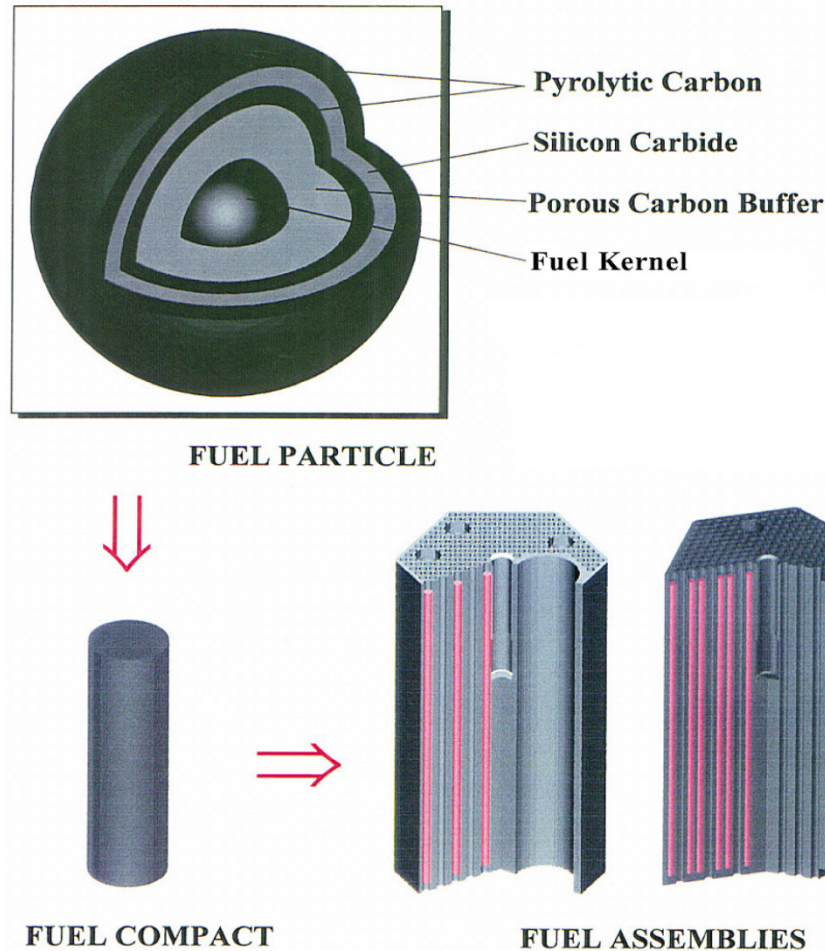


Figure 12. Prismatic reactor fuel particles, compacts and assemblies.

2.3 Reactor Plant Characteristics

Table 1 summarizes the characteristics of the reactor plants that are compared in this paper. These plant designs are briefly summarized as follows:

- The PBMR Process Heat Plant annular core design, developed during the FY 2007 preconceptual work, is shown in Figure 2 (above). This plant is designed for electricity and hydrogen production and includes a two stage IHX; the secondary gas side of the IHX supplies heat to the hydrogen process and to a steam generator. This plant's core design, which is based on the demonstration power plant core design developed earlier by PBMR (Ltd) of South Africa, includes a direct Brayton cycle gas turbine for electricity production.
- The PBMR cogeneration plant cylindrical core design is the current plant that was proposed by WEC/PBMR for the NGNP Project as shown in Figure 7 (above). This plant is designed to supply steam and electricity in, for example, co-generation applications. Its cylindrical core design is based on the German HTR Module design. The Module design is also the basis for the Chinese HTR-10 plant. This design incorporates a steam generator in the primary loop without an IHX.
- The AREVA preliminary conceptual design reactor (PCDR) is a prismatic reactor based plant developed during FY 2007 preconceptual design work and is shown in Figure 3 (above). This reactor design is based on the AREVA Antares plant, which included a direct Brayton cycle for electricity production. This AREVA plant includes IHXs that supply secondary gas for the production of

electricity and hydrogen. The schematic in Figure 6 (above) applies to the current plant configuration proposed by AREVA for steam and electricity production in a co-generation application. The core design for this plant is similar to that for the FY 2007 preconceptual design except for lower operating temperatures.

- The GA PCDR plant, developed during FY 2007 preconceptual design work, applies the prismatic reactor concept based on the earlier Gas Turbine-Modular Helium Reactor (GT-MHR) plant. In FY 2007, GA prepared designs for a direct Brayton cycle plant patterned after the GT-MHR as shown in Figure 4, but with a parallel IHX to supply heat to the hydrogen process. They also prepared a second design which included an IHX that supplied heat to the hydrogen process and to a steam generator and Rankine steam turbine generator. The core designs for these two plants are similar.
- The GA MHTGR Plant was designed and submitted to the NRC for preapplication licensing discussion in the late 1980s under a DOE task. This plant is based on a direct cycle steam plant using a Rankine cycle for electricity production, which is the concept proposed by GA for the NGNP Project.
- The GA SC-MHR plant (see Figure 8) uses a prismatic reactor concept based on the MHTGR design and, as cited previously, is the plant design on which the conceptual design report submitted to the DOE in December 2010 was developed.
- The status of the HTR Module plant (see Figure 9) was assessed by AREVA as tasked by the NGNP Project in the latter part of 2010. As noted previously this plant design is the basis for the WEC/PBMR process heat design and the Chinese HTR-10 demonstration plant.

Table 1. Summary of specific pebble bed and prismatic reactor design characteristics.

Parameter	PBMR Process Heat Plant Annular Core	PBMR Cogeneration Plant Cylindrical Core	AREVA Preconceptual Design	GA Preconceptual Design	GA MHTGR	GA SC-MHR (December 2010)	AREVA PBR Status (January 2011)
Reactor thermal power	500 MWth	250 MWth	565 MWth	600 MWth	350 MWth	350 MWt	200 MWt
Heat transport medium	Helium	Helium	Helium	Helium	Helium	Helium	Helium
Reactor inlet temperature	350°C	250°C	500°C	490–590°C	259°C	350°C	250°C
Reactor outlet temperature	950°C	750°C	900°C	850–950°C	687°C	725°C	700°C
Mass flow rate	160 kg/sec	96 kg/s	272 kg/sec	322 kg/sec	157 kg/sec	154.7 kg/sec	96 kg/s
System operating pressure	9 MPa	6 MPa	5 MPa	6.4 MPa	6.4 MPa	7 MPa	6 MPa
Core pressure drop	202 KPa			58 KPa	55 KPa	50.3 kPa	
Pressure Vessel	SA-508/SA-533	Steel	9Cr-1Mo (Grade 91)	2-1/4 Cr—1Mo SA 508/SA533	SA 508/SA 533	SA – 508/SA – 533	Steel
Graphite	NGB-18 (see notes, below)	NGB-18 (see notes, below)	PCEA , NGB-17, IG-110, Carbone (see notes below)	PCEA , NGB-17, IG-110, Carbone (see notes, below)	H-451 (no longer available, see notes below)	PCEA – GrafTech	NGB-18 (see notes, below)
Reactivity Control System	12 control rods and 12 shutdown rods in the side reflector	6 control rods in the side reflector	36 control rods in inner ring of outer reflector and 12 in inner ring of fuel columns	36 control rods in inner ring of outer reflector 12 in inner ring of fuel columns	12 outer control rods and 6 inner control rods	12 outer neutron control assemblies and 6 inner neutron control assemblies	6 control rods in the side reflector
Reserve Shutdown System	8 channels in the center reflector filled with absorber spheres	18 channels in the side reflector filled with absorber spheres	18 channels in reflectors filled with absorber elements	18 channels in reflectors filled with absorber elements	12 channel filled with absorber elements	120 fuel elements with holes to receive reserve shutdown control material	18 channels in the side reflector filled with absorber spheres
Fuel element type	Spherical	Spherical	Cylindrical compacts	Cylindrical compacts	Cylindrical compacts	Cylindrical compacts contained within longitudinal holes in hexagonal graphite blocks	Spherical
Fuel particle design	TRISO	TRISO	TRISO	TRISO	TRISO	TRISO	TRISO

Parameter	PBMR Process Heat Plant Annular Core	PBMR Cogeneration Plant Cylindrical Core	AREVA Preconceptual Design	GA Preconceptual Design	GA MHTGR	GA SC-MHR (December 2010)	AREVA PBR Status (January 2011)
Fuel kernel composition	UO ₂	UO ₂	UCO or UO ₂	UCO or UO ₂	UCO-ThO ₂	UCO	UO ₂ , in HTR Module, UCO to be used in NGNP
Fuel enrichment	5.0% startup 9.6% equilibrium	4.2–4.5% startup 7.8% equilibrium	< 20%	19.8% fissile 0.7 % fertile	19.9%	15.5%	8 ± 0.5 wt%
Fuel burnup	90 GWd/mt U	8.31-8.75% FIMA	< 20% FIMA	26% FIMA fissile 7 % FIMA fertile		1060 EFPD	80,000 MWd/Mg U
Fuel diameter	60 mm (spherical)	60 mm (spherical)	12.4 mm dia 50 mm long	12.45 mm 49.3 mm length	12.4 mm Dia 49.3 mm L	12.4 mm Dia 49.3 mm L	60 mm (spherical)
Fueling method	Multiple recycle	Multiple recycle	Batch	Batch	Batch	Batch	Multiple recycle
Number of cycles	~6	~15	18 month cycle	18 month cycle	18 month cycle	18 month cycle	N/A
Helium flow direction	Downwards	Downwards	Downward	Downward	Downward	Downward	Downward
Core inner/outer diameter	2.0/3.7 m	3.0 m/N/A	2.96 m/4.84 m	2.96 m/4.83 m		1.65 / 3.5 m	3.0 m / N/A
Core height	11.0	10.5 m	8 m	7.93 m		7.90m	10.5 m
Core volume	~84 m ³	~74 m ³					
Number fuel block columns	N/A	N/A	102/10 blocks high	102/10 blocks high	66/10 blocks high	66	N/A
Number of fuel elements	451, 562 (spheres)	~400 000 (spheres)	1020 (fuel blocks)	1020 (fuel blocks)	660 (fuel blocks)	660 blocks	360 000 spheres
Number of compacts	N/A	N/A	2,919,600				N/A
Packing fraction	61%			39 % matrix 41% shim particle 17% fissile 3 % fertile			
Number of particles per sphere or compact		11,200/sphere		Average Compact: 4310 fissile 520 fertile			11,600 / sphere
Core power density	6.0 W/m ³	3.4 MW/m ³		6.6 MWth/m ³	5.9 MW/m ³	5.9 MW/m ³	3.0 MW/m ³
Mean fuel element output	1.11 kW average 3.63 kW maximum	~0.6 kW average					~1.4 kW, max first cycle

Parameter	PBMR Process Heat Plant Annular Core	PBMR Cogeneration Plant Cylindrical Core	AREVA Preconceptual Design	GA Preconceptual Design	GA MHTGR	GA SC-MHR (December 2010)	AREVA PBR Status (January 2011)
Normal Operation Max Fuel Temperature	~ 840°C average ~ 1150°C peak	644°C average 1048°C peak	1400°C maximum 1250°C average	<1250°C peak	1309°C peak	~ 840°C average ~ 1250°C time averaged maximum	837°C peak, first cycle
Accident condition maximum fuel temperature	~1670°C	970°C average 1483°C peak	1513–1588°C peak	<1600°C peak		~1600°C	~1600°C peak

Notes on core graphite:

The pebble bed design is based on the use of NBG-18 from SGL Carbon (company headquarters in Germany) made in Germany/France. This is the only grade of graphite that can be manufactured in the billet dimensions necessary to machine pebble bed blocks. This grade of graphite is vibra molded, which is a fairly new method. The coke is a pitch coke. Max grain size is 1.6 mm. This is a new graphite grade.

There are 3 to 4 grades that can be used for prismatic cores:

- GrafTech International (company headquarters in Param, Ohio) PCEA is a extruded graphite with a grain size of .08 mm from pet coke manufactured in Clarksburg WV. This is analogous to H-451 that was used in Fort St. Vrain and proposed for the modular HTGR in the 1980s. H-451 is no longer available. The first production batch of PCEA was made in West Virginia last year. This is a new graphite grade.
- Toyo Tanso (Japanese owned) IG-110 is a pet coke isomolded graphite made in Japan with a grain size approximately 0.01 mm. Currently used in the Japanese HTTR and for the Chinese pebble beds. The size of the Chinese pebble beds are small enough where size limitation of isomolded is not a concern. This grade has been in production for 15 years.
- Carbone of America (French owned) is a pitch coke isomolded made in St Mary's PA with a grain size approximately 0.010. This grade has been in production for some time.
- SGL NBG-17 is a vibra molded pitch coke made in Germany/France with a grain size of around 0.08 mm. NBG-17 cannot be made in the dimensions required by PBMR. This graphite's coefficient of thermal expansion is the same as SiC so potentially the graphite block can be coated in SiC. The first production billet will be received at the end of the year. This grade has been under development until this year.

3. COMPARISON OF REACTOR CONCEPTS

3.1 Comparing Configuration, Thermal Rating and Operating Conditions

The NGNP Project and the HTGR suppliers have defined a viable and large market for the application of HTGR technology in a wide range of industrial processes.¹¹ These include: petrochemical, refining, chemical and fertilizer plants, bitumen recovery and upgrading from oil sands, oil shale recovery, coal and natural gas to liquid transportation fuel conversion, ammonia and ammonia derivative production, etc. All of these applications require a supply of energy at different ratings and in several forms, including steam, electricity, high temperature gas, hydrogen, and oxygen. The development of specific applications have identified required plant thermal ratings from 600 MWth to over 6,000 MWth comprised of multiple HTGR modules. These applications also have varying requirements for availability; most approaching 100%. The modular nature of the HTGR technology and wide range of module size and plant configurations conceptualized in the NGNP Project provide flexibility to select a mix of module ratings and plant configurations that optimize the application of the technology to each process. Plant designs have also been conceptualized to provide all forms of energy required using either reactor concept.

The work performed thus far demonstrates that there is no one rating or configuration of the plant that fits all potential end uses of the HTGR technology. To ensure effective commercialization of this technology, flexibility is required in selecting each module rating and configuration. Such flexibility is available in both the pebble bed and prismatic reactor based designs conceptualized in the NGNP Project to-date. There is no distinguishing factor in this category that would favor one concept over the other.

Table 2 primarily compares the noncore design attributes of each of the parameters summarized for the pebble bed and prismatic reactor designs from Table 1. The core design attributes of both designs are then summarized in the following section and Table 3. The comparison concludes that although there are distinguishing characteristics between the two design concepts, none of them are factors that would favor selection of one concept over the other at this stage in the development of HTGR technology.

3.2 Comparing Core Designs

Table 3 presents a comparison similar to that in Table 2 for core design parameters. This table summarizes general differences and the impacts of those differences on the expected performance of the two reactor designs.

None of the phenomena described in Tables 2 and 3, either individually or in the aggregate, tilt the balance significantly toward the pebble bed reactor or the prismatic reactor. They simply represent factors which must be accounted for in design and safety analyses.

Table 2. Comparison of specific pebble bed and prismatic reactor design characteristics.

Parameter	Comparison of the Pebble Bed with the Prismatic Reactor Designs
Reactor thermal power	The larger capacity of the prismatic design stems from a higher design power density. The effective thermal conductance of the pebble bed core is lower than the prismatic core because of the interstitial space among the spherical fuel elements. The power density of the pebble bed reactor is therefore lower to limit peak fuel temperatures during loss of flow conduction cooldown events. As a consequence, the prismatic reactor has higher fuel operating temperatures than the pebble bed reactor. As shown below, both operating and accident temperatures are below design limits. As discussed above, the range of module power ratings is an advantage and is not a discriminating factor between the pebble and prismatic designs.
Heat transport medium	No difference.
Reactor inlet temperature	The core inlet helium flow is typically in direct or indirect contact with the reactor pressure vessel (RPV) and , therefore, influences the operating temperatures of this vessel. The higher reactor inlet temperatures could require the use of developmental materials for the RPV, However, the reactor suppliers have developed helium circuit designs that can provide helium flow at lower than reactor inlet temperature to maintain the RPV at temperatures that permit the use of more common nuclear grade materials, (e.g., SA 508/533) Accordingly, the reactor inlet temperature is not a distinguishing factor between the reactor designs.
Reactor outlet temperature	No difference. The wide range of capabilities permits applying the HTGR technology to a wider range of industrial processes.
Mass flow rate	N/A not a comparative parameter.
System operating pressure	The higher pressure for the pebble bed reactor requires a thicker reactor pressure vessel, which can result in higher cost. This is judged not a significant factor.
Core pressure drop	This is not a comparative parameter; it is primarily an economic rather than a technical factor. The pebble bed core has higher resistance to flow than the prismatic reactor core leading to a higher core pressure drop for the same helium flow rate. This reduces the net efficiency of the pebble bed by about 2% when compared with the prismatic design on an electricity production basis. Whether this is a disadvantage to the pebble bed design depends on many factors, including the specifics of the application. This could be a factor in selecting a design that would be evaluated for each application. It is not a factor that can be assessed generically and is therefore, not judged to be a distinguishing factor at this stage of NGNP Project development.
Pressure vessel	The use of traditional materials such as those used for current LWR vessels (e.g., SA-508/SA-533) is an advantage with respect to limiting required material development and codification and cost. This is not a distinguishing factor between the pebble bed and prismatic concepts, but more a distinguishing factor on operating temperatures and the design of the helium flow path in the core (see above discussion on reactor inlet temperature)..
Graphite	No difference because the graphite used in prior gas reactors (H-451) is no longer available, new grades of graphite are being developed for all reactor designs.
Reactivity control system	No difference.
Reserve shutdown system	No difference.
Fuel element type	See Table 3.
Fuel particle design	No difference.
Fuel kernel composition	See Table 3.
Fuel enrichment	See Table 3.
Fuel burnup	See Table 3.
Fuel diameter	See Table 3.

Parameter	Comparison of the Pebble Bed with the Prismatic Reactor Designs
Fueling method	The continuous refueling of the pebble bed reactor could support a higher capacity factor than for the batch refueled prismatic reactor. The experience with the continuous refueling at AVR was good after correcting early operating problems. However, whether the balance of plant and other components within the nuclear heat supply can operate for the extended periods necessary to achieve the higher capacity factors (~95%) that would present an advantage for the pebble bed design has not been demonstrated. This is not judged to be a factor that can be quantified with confidence at this stage in the NNGP Project development and is therefore, not judged a viable distinguishing factor.
Number of cycles	N/A to comparison.
Helium flow direction	No difference.
Core inner/outer diameter	N/A to comparison.
Core height	The pebble bed configuration permits use of a longer active core. This is not a significant distinguishing factor.
Core volume	N/A to comparison.
Number fuel block columns	N/A to comparison.
Number of fuel elements	This is not a distinguishing parameter; this parameter and the design of the fuel elements are primarily related to proliferation resistance. Past discussions of the difference in fuel element configurations have posited that the fuel block configuration may be less susceptible to diversion than the spheres. ¹² It is noted, however, that the composition of either fuel element, (embedment of the small fuel kernels in multiple layers of graphite) would not be an easy configuration for conversion for other uses. Proliferation of either fuel element configuration is not judged to be distinguishing factor.
Number of compacts	N/A to comparison.
Packing fraction	Related to fuel design and power density. See discussions on fuel design and reactor power.
Number of particles per sphere or compact	Related to fuel design and power density. See discussions on fuel design and reactor power.
Core power density	See discussion on reactor power.
Mean fuel element output	Related to fuel design and power density. See discussions on fuel design and reactor power.
Normal operation max fuel temperature	The prismatic reactor has higher operating fuel temperatures than the pebble bed reactor because of its higher power density. The operating temperatures for both designs are well within the design limits established from the fuel qualification programs. See discussions on reactor power and fuel design. This is not a significant distinguishing factor.
Accident condition maximum fuel temperature	The prismatic reactor has lower accident fuel temperatures than the pebble bed reactor because of the better conductance of the prismatic fuel blocks under loss of flow conduction cooldown events. The accident fuel temperatures for both designs are well within the design limits established from the fuel qualification programs. See discussions on reactor power and fuel design. This is not a significant distinguishing factor.

Table 3. Pebble bed reactor vs. prismatic-block reactor—a summary of differences.

Item	Difference and Impact
<p>Fuel</p> <ul style="list-style-type: none"> • Kernel composition • Packing fraction • Enrichment/burnup 	<p>Prismatic fuel is higher in packing fraction and enrichment to support batch refueling. As cited previously, pebble bed reactor (PBR) fuel was originally UO_2 enriched to 7–10% and typically burned to about 90–100 GWD/tU. The recommended change of the kernel composition to UCO may permit higher burnups. Prismatic modular reactor (PMR) fuel is UCO enriched to 10–20% low-enriched uranium (LEU) and is burned to about 120–190 GWD/tU. All other factors being equal, the power density, peak radiation damage, and temperature in the compacts are relatively higher than in the pebble. This is compounded by the fact that prismatic blocks do not move during operation so one set of compacts always sits in the region of high power density or high temperature. In the PBR, the fuel gets stirred and time at temperature effects are diminished.</p>
<p>Core Thermal Fluid Transport</p> <ul style="list-style-type: none"> • Pressure drop • Coolant flow • Convective Heat Transfer • Nonconvective Heat Transfer 	<p>For the same core height and diameter, the pressure drop across a PBR core is much higher than in a PMR core. The pumping power to drive the primary coolant is comparably higher in the PBR (~8% of thermal power) than in the PMR (~2% of thermal power).</p> <p>The design of the engineered cooling channels in prismatic blocks can be optimized to enhance heat extraction from the compacts. On the downside, the flow in individual flow channels can become unbalanced and lead to unacceptable local temperature peaking. Furthermore, under low flow conditions (such as after a loss of forced cooling), higher temperatures can lead to flow instability in hot channels. The temperature rise in a prismatic core is limited to about 400°C to avoid this problem.</p> <p>The tortuous path around pebbles, which causes the high pressure drop, also results in enhanced cooling geometry. All other things being equal, heat is pulled off the surface of pebble bed fuel more efficiently, resulting in lower fuel temperatures.</p> <p>During a loss of forced cooling, radiation and conduction are the primary heat removal mechanisms. The larger contact surface between compacts and blocks facilitates conduction. Because of the small contact area between pebbles, radiative heat transfer between them is as important as conduction. Radiative heat transfer in the PBR exceeds conductive heat transfer at temperatures above about 1200°C. The larger mass of graphite in the PMR also absorbs more decay heat after shutdown. The PMR can thus operate with a higher power density while keeping its fuel temperatures under 1600°C after a loss of forced cooling.</p>
<p>Core Neutronics and Fuel Management</p> <ul style="list-style-type: none"> • Refueling • Excess reactivity 	<p>Refueling is essentially continuous in the PBR, making higher capacity factors possible. Circulation of the pebbles also allows the periodic inspection and assay of the fuel before it is discharged. The pebble fuel handling system (FHS) is completely different from the block handling machine of a PMR. The PBR FHS uses pneumatic transfer, charge and discharge chutes, singularizers, etc., to move pebbles automatically between the core and storage tanks. The PMR uses a block handling device to unload, load, and shuffle fuel elements at each outage. The process is somewhat more complicated than in an LWR in that the blocks in a column cannot be pulled out together. The core must be unloaded and reloaded layer-by-layer, column-by-column. Still, individual placement of blocks enables batch optimization and the potential to reduce uncertainty in power peaking and burnup.</p> <p>Online refueling enables the PBR to operate with very little excess reactivity (~1.3% Δk), usually just enough to override xenon after an unplanned shutdown. Like an LWR, a PMR requires high fissile loading and burnable poisons to hold down the resulting reactivity in order to last through the cycle. The higher excess reactivity (~4.8% Δk) also exacerbates reactivity transients, such as steam ingress, so that transient power peaks can be more severe.</p>

Item	Difference and Impact
<ul style="list-style-type: none"> • Peaking • Analysis 	<p>Fuel design in blocks leads to significant power peaking, particularly at the core-reflector interface. PMR designers overcome this with a combination of burnable poisons, multiple zoned enrichments or packing fractions, or multiple particle types. With burnable poisons, peaking is limited to about 1.3 to 1.6 (maximum/average). PBR cores also exhibit radial peaking, but to a much lesser extent such that a single and homogeneous pebble fuel type is sufficient (~1.8 to 2.2). Random loading and recirculation in the PBR allows for the small and computable possibility of the formation of hotspots formed by two or more low-burnup pebbles in close proximity.</p> <p>PMR fuel blocks are relatively heterogeneous compared to pebble fuel. Burnable poisons and off-center control rods challenge existing core simulation tools. Precise power peaking and local depletion calculations are difficult. The pebble bed is simpler from a lattice physics perspective, but there is an inherent uncertainty in power peaking calculations because the precise location of fuel elements cannot be computed.</p>
Graphite	<p>Dimensional changes in the graphite blocks of both reactor concepts can distort control rod channels and degrade the cooling geometry of the fuel. Severe dimensional changes in the PMR may lead to difficulty in removing or shuffling fuel blocks. Gaps between fuel blocks will reduce cooling in the engineered cooling channels and increase compact temperatures in the blocks. Bypass flow can exacerbate the already higher stresses on the compacts.</p> <p>Gaps are not an issue in the PBR core but bypass of coolant through the reflector blocks can be significant, so much so that the PBMR company had to design special keyed blocks to hold them together over their long life in the vessel. PBR blocks are expected to stay in the core for years, even decades, and thus be subjected to severe dimensional changes caused by exposure to the neutron flux. Reflector bypass flow is estimated to be as high as 15%. In the PMR, distorted reflector blocks can be removed during a given outage. The reflector bypass flow can therefore be kept under control.</p>
Instrumentation and Control	<p>The engineered and stationary cooling channels of the PMR can be used for low-power physics testing (insertion of thermocouples, flux wires, etc.). Locating in-core instrumentation in the PBR is difficult.</p> <p>Neutron absorbers cannot be easily inserted into the PBR (although in-core control rods were used in the thorium HTR with, not surprisingly, some fuel damage). Control rods and absorber spheres must be inserted into the reflectors.</p> <p>In both concepts, flux and temperature during operation are inferred from ex-core measurements. This is a typical arrangement in nuclear power plants and not a distinguishing factor for either reactor design.</p>
Source Term (including dust)	<p>The abrasive action of moving pebbles leads to the generation of carbonaceous dust in the PBR primary loops, probably on the order of kilograms per year. This dust can be a carrier for fission product transport during some depressurization accidents.</p> <p>On the other hand, the higher power peaking, temperatures, and temperature gradients of the PMR core result in higher release rates from the fuel and a somewhat higher probability of particle failure.</p>
Accident Sequence	<p>Not much difference except that the PBR core can be subjected to seismically-induced settling that results in a subsequent reactivity insertion. The amount of reactivity inserted is lower than other anticipated transient initiators.</p>
Power Output	<p>Because control rods in the PBR must be located in the reflector and the (usually) lower heat conductivity of pebbles during a conduction cooldown event, the thermal power output of an annular PBR is limited to 400 to 500 MWt. The current cylindrical PBR design thermal power is limited to 200 to 250 MWt. PMRs have been designed with a power output of 625 MWt.</p>
Proliferation	<p>Continuous fueling the small size of pebbles offers a greater opportunity for illicit diversion of nuclear material. Conversely, the relatively high excess reactivity required of PMR batch refueling can mask irradiation of fertile material for illicit weapons production.</p> <p>The amount and quality of fissile plutonium, per MWD of energy produced, found in the spent fuel of either NNGP concept is lower than that of LWRs.</p> <p>As noted above, past discussions of the difference in fuel element configurations have posited that the fuel block configuration may be less susceptible to diversion than the spheres.¹³ It is noted, however, that the composition of either fuel element, (embedment of the small fuel kernels in multiple layers of graphite) would not be an easy configuration for conversion for other uses. Proliferation of either fuel element configuration is not judged to be distinguishing factor.</p>

4. R&D REQUIRED TO SUPPORT DESIGN AND LICENSING ACTIVITIES

4.1 Fuel Development and Qualification

The Fuel Development and Qualification Program¹³ will qualify TRISO-coated particle fuel for use in NGNP. TRISO-coated particles will be fabricated at pilot scale for use in the formal qualification testing. The testing program consists of irradiations, safety testing and post-irradiation examinations that will characterize the behavior of TRISO-coated fuel under both normal and off-normal conditions. The program also contains out-of-pile experiments, special irradiations and safety testing to characterize the release and transport of fission products from the kernel, through the coatings, the fuel matrix, the graphite and the primary system (i.e., source term). Formal validation testing is also planned to validate fuel performance and fission product models, required for core performance assessments and safety analysis. The program is currently undertaking an irradiation and post-irradiation examination program considering both UCO and UO₂ kernels (AGR-2). Barring un-expected results from this program it is expected that the NGNP Project will focus on development of UCO based fuel for both the prismatic and pebble bed reactors. A detailed discussion of fuel and source term qualification for both pebble bed and prismatic technologies was recently provided to the NRC in a white paper.^{14,15}

A fuel acquisition strategy, established in 2007,¹⁶ provided a detailed technical assessment of potential fuel vendors for the first core of NGNP, conducted by an independent group of international experts based on input from the three major reactor vendor teams. Part of the assessment included an evaluation of the credibility and the cost and schedule to implement each option in comparison with the schedule and throughput needs of the NGNP Project. While credible options were identified, many changes in the assumptions underlying the strategy and in externalities that have happened in the interim required reassessing the options. The more substantive of these changes was the termination of the PBMR development program in South Africa. The PBMR program was to undertake the development of UO₂ based fuel and fuel sphere elements. The termination of this program is one of the factors that has led to the recommendation for a change to UCO fuel for the pebble bed reactor. It also may be necessary for the NGNP Project R&D program to add full pebbles to the irradiation and post-irradiation examination program. The Fuel Acquisition Plan was updated in December 2010¹⁷ to account for these changes.

A detailed resource-loaded activity-based schedule for the activities presented in the technical program plan¹⁸ for TRISO fuel has been developed and is used to guide and prioritize activities year by year. The critical path for the fuel qualification is through the irradiations early in the program and then shifts to the post-irradiation examination and safety testing later in the program. Based on the schedule, the fuel for NGNP is anticipated to be qualified by mid-2022 assuming the funding levels required to accomplish the tasks are made available.

4.2 Graphite Development and Qualification

The objective of the NGNP Graphite Program¹⁹ is to develop the qualification data set of thermomechanical and thermophysical properties for unirradiated and irradiated candidate grades of graphite for NGNP. As part of the acquisition strategy for graphite, four major graphite grades from four vendors around the world (Graftec and Mersenin the U.S., SGL in Europe, and Toyo Tanso in Japan) suitable for use within both a pebble bed and prismatic HTGR design have been selected for further evaluation. Minor grades and historical samples have also been incorporated into the program to help further elucidate the impact of fabrication processes and coke sources on the resulting microstructure of the graphite and its performance under irradiation. Major grades include NBG-18 (SGL), PCEA (GrafTech Inc.), IG-110 (Toyo Tanso), and 2114 (Mersen, formerly known as Carbonne Lorraine) while minor grades include PGX, HLM, PCIB, NBG-17, IG-430, and others.

The program consists of statistical characterization of unirradiated graphite material properties to establish the lot-to-lot, billet-to-billet and within billet variability of the material. Irradiations are planned at specified temperatures and doses within the design service condition envelope anticipated for NGNP. Extensive post irradiation examinations are planned to establish the change in relevant material properties as a function of temperature and neutron dose. Of particular interest is the irradiation induced creep of graphite, which is critical to determining the lifetime of the graphite under irradiation. From these datasets, constitutive relations will be established for use in a detailed predictive thermo-mechanical finite element model. These data will also support development of relevant ASTM standards and ASME design rules. In the longer term, the program plans to evaluate processing route and raw material constituent influences on graphite behavior so that additional large qualification irradiation programs are not needed when new coke sources are used to make graphite for HTGRs. A detailed discussion of graphite qualification was recently provided to the NRC in a white paper.²⁰

A detailed resource-loaded activity-based schedule for the activities presented in the technical program plan for graphite has been developed and is used to guide and prioritize activities year by year. The critical path for graphite qualification is through the irradiations. Post-irradiation characterization will be performed at INL and ORNL to complete the large number of characterization activities and not impact the critical path. Assuming the funding levels required to accomplish the tasks are made available, the schedule shows that the graphite for NGNP will be qualified by 2021.

4.3 High Temperature Materials

The goal of the NGNP High Temperature Materials Program^{21,22} is to obtain the performance data required to support the development of these high temperature components and associated design codes over the broader range of envisioned outlet temperatures for HTGRs to support co-generation of steam and electricity at lower temperatures (750–800°C) and hydrogen production and hot gas delivery at higher temperatures (850–950°C) for a variety of end user applications. A number of solid-solution-strengthened, nickel-based alloys have been considered for application in heat exchangers and core internals for an HTGR. The primary candidates are Inconel 617, Haynes 230, Incoloy 800H, and Hastelloy X. Of these alloys, only Incoloy 800H is currently approved for high temperature design in the ASME Code and only up to 760°C. As the outlet temperature increases from 750 to 950°C, the number of potential alloys decreases and the specific material issues change. Materials selection is based on the technical maturity, availability in required product forms, experience base, and mechanical properties at elevated temperatures. The materials under consideration are largely independent of the reactor configuration (pebble bed vs. prismatic).

Creep, creep-fatigue, aging, and environmental degradation testing is planned using the candidate high temperature material selected for NGNP. Thick and thin sections of base material, weldments and other joints (e.g. diffusion bonding) will be evaluated given the different design options under consideration for the IHX and steam generator. Depending on the outlet temperature selected by the NGNP Project, additional high temperature data may be needed to support relevant ASME code cases for the material. R&D to establish requisite in-service inspection techniques will be developed as key components are being designed. Prototype testing of key components is envisioned in a high temperature flow loop to characterize overall behavior under prototypic flowing HTGR conditions and validate ISI techniques. A detailed discussion of high temperature materials was recently provided to the NRC in a white paper.²⁰

4.4 Design and Safety Methods Validation

The goals of the Design and Safety Methods Validation Program for NGNP are to develop validation experiments and data to validate models and analytical tools for NGNP, to resolve key safety, performance, and technical issues through confirmatory modeling and/or tool development when existing models and/or tools are judged to be inconclusive or inadequate, and to modify, upgrade, and/or develop

new analytical tools for future use that will reduce uncertainties and improve the capability of understanding the behavior and operating margins of the plant. Current areas of focus include developing improved differential cross-sections for plutonium isotopes to reduce uncertainties in the reactivity performance of high burnup LEU HTGR cores, assessing and improving reactor physics and kinetic methods for prismatic and pebble bed HTGRs, performing physics benchmark studies on past relevant experiments, evaluating important phenomena that influence thermal-fluid behavior in both pebbled bed and prismatic HTGRs and establishing relevant experiments for V&V, evaluating of air-ingress phenomena in HTGRs and participating in relevant validation experiments, developing experiments to validate reactor cavity cooling system behavior, and evaluating and establishing system level codes appropriate for HTGR safety analysis. The program currently includes activities that support both pebble bed and prismatic technologies.

Based on the review of the planned R&D activities, there are no distinguishing factors that favor one design over the other.

In January 2011, the NGNP Project re-examined the scope of work and the estimated costs to complete the Project for the status of the R&D program at that time. This re-examination also reviewed the status of the scopes of work and costs for engineering and licensing. The costs to complete the NGNP Project were then updated to be consistent with the status of the Project at the time of the assessment.²³

5. TECHNOLOGY DEVELOPMENT REQUIRED TO COMPLETE DESIGN EFFORT AND TO SUPPORT LICENSING OF THE REACTOR CONCEPT BY THE NRC

The NGNP Project Risk Management Plan²⁴ has developed and implemented a technology development roadmap (TDRM) process that (1) establishes the technology readiness level (TRL) of each critical^a plant area, system, subsystem and component (PASSC), and (2) develops the necessary effort to achieve the TRL required to have confidence in the performance of the PASSC when installed in the plant. The development of design data needs during the preconceptual design work in FY 2007 and refinement of these in FY 2008 and FY 2009 combined with development of the TDRM for the HTGR in this time frame identified the following areas listed in Table 4 as specific areas requiring technical development, both generically and design specific (as shown by supplier in the table). The TDRM defines the specific efforts required to progress the TRL of the areas summarized in Table 4. The TRL of every developmental component, the status of the necessary work scope to advance TRLs, and the summary tables are maintained by the Risk Management Program in coordination with the supplier teams.

The GA conceptual design report for the SC-MHR provided estimated TRLs that are more advanced for some of the components than are cited on Table 4. However, review of the design verification and support work that was also identified in the GA report as required to advance the TRL of these critical components concludes that the lower TRLs of Table 4 are more appropriate. AREVA did not provide estimates of the TRLs for key components of the HTR-Module.

Although Table 4 identifies 2009 technology readiness levels by the reactor type, close examination of the table will reveal that the fundamental development requirements are generic across all reactor designs. There is no clear distinguishing characteristic or developmental requirement in any of the designs proposed to-date in the NGNP Project that favors one design over the other. This supports continuing with development of both PBR and PMR design concepts.

a. Critical PASSCs are defined as those components that are not commercially available or have not been proven in relevant industry environments, at appropriate scale, or fully integrated with other components.

Table 4. Summary of critical PASSCs

NGNP Consolidated				AREVA				General Atomics				Westinghouse Electric Company				
Section	TDRM - 750°C	TRL - 750°C	TDRM - 950°C	TRL - 950°C	TDRM - 750°C	TRL - 750°C	TDRM - 950°C	TRL - 950°C	TDRM - 750°C	TRL - 750°C	TDRM - 950°C	TRL - 950°C	TDRM - 750°C	TRL - 750°C	TDRM - 950°C	TRL - 950°C
Nuclear Heat Supply																
2.1	Reactor Pressure Vessel	4	Reactor Pressure Vessel	4	n/a		Vessel System	5	SSC-5 Reactor Pressure Vessel	5	Reactor Pressure Vessel	5	n/a		n/a	
2.2	Reactor Vessel Internals	4	Reactor Vessel Internals	4	Reactor Internals	4	Reactor Vessel Internals	4					n/a		n/a	
2.3	Reactor Core & Core Structure	4	Reactor Core & Core Structure	5	Reactor Core	4	Reactor Core Design Features	6	SSC-4a Reactor Core Assembly	5	Reactor Core	5	Core Structure - Graphite	6	Core Structure - Graphite	6
									SSC-4b Reactor Graphite Elements	6	Reactor Graphite	6	Core Structure - Ceramics	4	Core Structure - Ceramics	4
2.4	Fuel Elements	4	Fuel Elements	4	n/a		n/a		n/a		n/a		Fuel Element – Pebble-bed	7	Fuel Element – Pebble-bed	6
2.5	Reserve Shutdown System	5	Reserve Shutdown System	5	n/a		n/a		n/a		n/a		Reserve Shutdown System	6	Reserve Shutdown System	6
2.6	Reactivity Control System	4	Reactivity Control System	4	Control Rod Drives	4	Neutron Control System	4	SSC-1 Reactor Control Equipment	4	Reactor Control Equipment	4	Reactivity Control System	6	Reactivity Control System	6
									SSC-2a Reactor Internals – Control Rods and SSC-2d Reactor Internals – Upper Core Restraint	2	Control Rods	2				
2.7	Core Conditioning System	4	Core Conditioning System	4	n/a		n/a		SSC-8 Shutdown Cooling Heat Exchanger	4	Shutdown Cooling Heat Exchanger	4	Core Conditioning System	6	Core Conditioning System	6
2.8	Reactor Cavity Cooling System	4	Reactor Cavity Cooling System	4	Reactor Cavity Cooling System (RCCS)	5	Reactor Cavity Cooling System	5	SSC-9 Reactor Cavity Cooling System	4	Reactor Cavity Cooling System	4	Reactor Cavity Cooling System	6	Reactor Cavity Cooling System	6
Heat Transfer System																
3.1	Circulators	5	Circulators	4	Main Helium Circulator	6	Primary Gas Circulator	4	SSC-6 Helium Circulator	6	Helium Circulators	6	PHTS Circulator	6	Primary Circulator	6
							Secondary Gas Circulator	4								
3.2	Intermediate Heat Exchangers	3	Intermediate Heat Exchangers	3	n/a		Helical Tube IHX	6	n/a		Intermediate Heat Exchanger	2	IHX	3	IHX A	2
							Compact IHX	4							IHX B	3
3.3	Cross Vessel Piping	4	Cross Vessel Piping	4	Hot Duct	5	Primary Hot Gas Duct	5	SSC-3 Hot Duct	2	Hot Duct	2	HTS Piping	4	Piping	4
3.4.1	High Temperature Valves - Flapper	6	High Temperature Valves (Flapper and Isolation, Relief)	5	Circulator Shutoff Valve	6	High Temperature Valves - Flapper	6	n/a		n/a		n/a		n/a	
3.4.2	High Temperature Valves - Isolation, Relief	4		3												
3.5	n/a		Mixing Chamber	6	n/a		n/a		n/a		n/a				Flow Mixing Chamber	6
Hydrogen Production System																
4.1	n/a		Hydrogen Production System		n/a		n/a		n/a		Sulfur-Iodine HPS	3			Hybrid Sulfur HPS	3
Power Conversion System																
5.1	Steam Generator	4	Steam Generator	4	Steam Generator	6	Steam Generator	5	SSC-10 Steam Generator	4	Steam Generator	3	PCS Steam Generator	6	Steam Generator	6
5.2	n/a		PCS Equipment for Direct Combined Cycle *	4	n/a		n/a		n/a		PCS Turbomachinery	4	n/a		n/a	
Balance of Plant																
6.1.1	Fuel Handling System-Prismatic	4	Fuel Handling System - Prismatic Only	4	Fuel Handling System	6	Fuel Handling System	6	SSC-14 Fuel Handling and Storage System	4	Fuel Handling and Storage System	4	n/a		n/a	
6.1.2	Fuel Handling System-Pebble Bed	5			n/a		n/a				n/a		Fuel Handling & Storage System	5	n/a	
6.2	Instrumentation and Control	3	Instrumentation and Control	3	Primary Loop Instrumentation	6	Primary Loop Instrumentation	6	SSC-15 Primary Circuit and Balance of Plant Instrumentation	3	Primary Circuit and BOP Instrumentation	3	n/a		n/a	
									SSC-16 RPS, IPS, and PCDIS	4	Reactor Protection, Investment Protection, Plant Control, Data and Instrumentation	4				
Other Development Issues (Not a Critical PASSC)																
N/A					n/a		IHX Materials and Coating	3							Software Codes V&V	n/a

6. CONCLUSION

Based on the completed evaluations and comparisons the following conclusions are reached:

- There currently is no substantive technical differentiators that could provide the basis for choosing a reactor design—whether pebble bed or prismatic. The conceptual design report that was developed and submitted by General Atomics in 2010 did not change this conclusion.
- There currently is not sufficient confidence in the costs and plant economic evaluations performed to date to use these as differentiating factors.
- It is expected that the designs will need to be matured well into the preliminary design phase and the licensing basis matured to support impending submittal of a combined construction and operating licensing application before possible differentiating factors will be identified. More specifically:
- The design of the systems and equipment will need to be sufficiently detailed to permit equipment sizing and layout and transient analyses to be completed
- The design of safety related systems and equipment will need to be sufficiently detailed to permit safety analyses, including the determination of accident frequencies and consequences
- The fundamental licensing requirements will need to be defined through the preapplication process
- The economics of the industrial application to which the FOAK NGNP plant will be applied needs to be developed.
- It is judged that sufficient technical differences will not be identified between the pebble bed and prismatic reactor concepts to make a selection for further development on a generic basis. In commercial application, the selection of which reactor design to apply is expected to be a function of the specific application, the licensing basis and the economics rather than the technical differences. Developing confidence in these differentiators will require design maturation well into preliminary design.

6.1 Basis for Conclusions

The above conclusions are based on detailed comparison of these reactor designs in the following areas:

- Configuration, thermal rating, and operating conditions, including comparison of over 30 specific design characteristics of three pebble bed reactor configurations and five prismatic reactor configurations that have been conceptualized as part of work completed to-date in the NGNP Project. This review included evaluation and assessment of potential advantages of one design over the other for both pebble bed and prismatic reactor technologies in the following areas:
 - Fuel composition, packing fraction, enrichment, and burnup
 - Core thermal fluid transport, including pressure drop, coolant flow, convective heat transfer, non-convective heat transfer
 - Core neutronics and fuel management, including refueling, excess reactivity, power peaking, analysis
 - Graphite
 - Instrumentation and control
 - Source term
 - Accident sequence
 - Power output
 - Proliferation.

- Technical viability to supply the variety of energy forms at the required conditions (e.g., thermal rating, temperature, pressure) for the wide range of industrial processes identified to-date by the NGNP Project as candidates for application of the high temperature gas-cooled reactor (HTGR) technology.
- Research and development (R&D) required to support design and licensing activities, including review of the objectives and coverage of the R&D requirements of both reactor designs, including the Fuel Acquisition Plan, Fuel Development and Qualification Program, Graphite Development and Qualification Program, High Temperature Materials Qualification Program, and the Methods Validation Program.
- Technology development required to complete the design effort and support licensing of the reactor concept by the U.S. Nuclear Regulatory Commission. This comparison examined the summary of the design development needs identified in the NGNP Project for each reactor design in the Technology Development Roadmaps prepared as part of the NGNP Project Risk Management Plan.

Advancement of the designs for both the pebble bed and prismatic reactor based plants through preliminary design is necessary to establish if there is a technical basis to select one design over the other for further development. Of highest priority are the identification and completion of the design of safety related structures, systems, and components to support development of the Combined License Application (COLA). To expedite achieving the level of design development needed to make a decision on the reactor concept in a reasonable time frame, DOE needs to engage now with industry and expedite the formation of the public-private partnership, including development of the necessary strategy to expedite the schedule, and cost sharing provisions. The work completed by the NGNP Project to-date is sufficient to inform this development.

It is the judgment of the NGNP Project that throughout the design and licensing process no differentiating technical factors will be identified that justify selection of one reactor design (i.e., pebble bed or prismatic) over the other. It is anticipated that the selection of reactor design will be made by the future owner of the plant based on specific licensing basis requirements and the business case. Potential end users and owners with whom the NGNP Project has discussed the application of this technology support developing two reactor plant designs (based on pebble bed and prismatic reactors) so they have a choice to better manage development risk, to promote competition and to provide flexibility in selecting the best alternative for the application and the business case. The implementation of parallel development paths has benefit to the NGNP Project by providing an alternative in the event major problems are encountered in one of the paths.

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